

Compliance Connections: Impact of Regulation BI and Form CRS on Broker-Dealers and Investment Advisors

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Foreside hosted **Lori Weston, Craig Moreshead, Kelli Haugh** and **Stephen Murphy** of Foreside on a dynamic conversation around the key requirements, deadlines, definitions, and major considerations for Broker-Dealers and Investment Advisors seeking to comply with Regulation Best Interest, the new Form CRS (Client Relationship Summary), and related interpretative guidance.

This webinar was the first in a three-part series Foreside will host. We will host dedicated webinars specifically for Broker-Dealers and Investment Advisors at a later date.

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