

Could Coronavirus Disruptions Delay the Reg BI Compliance Deadline?

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With the coronavirus disrupting the normal operations of the broker-dealer industry, there is cause to wonder if firms could get a reprieve from the June 30 compliance deadline set for the SEC's Regulations Best Interest.

Find out what Steve Murphy, Managing Director of Foreside's Broker-Dealer Consulting area had to say about a firms' ability to implement Reg BI and meet the requirements of the rule.

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