

Firms Should Expand Despite Recession Fears: Q&A

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FTF News recently got time with David Whitaker, president, and Jennifer Hoopes, general counsel, at Foreside, to discuss expansion and related issues amid global recession concerns, tighter margins, Brexit, and other woes. Whitaker oversees Foreside's business and client development and firm strategy. His career includes a post as Vice President of Citigroup Fund Services, where he managed fund administration services. Hoopes has oversight for all legal and risk matters and Foreside's global services and outreach. Her particular expertise is in broker-dealer regulatory compliance and the intersection of fund marketing and broker-dealer obligations. She recently relocated to London to open Foreside's first European office. Among other services, the Portland, Maine-based firm provides fund, broker-dealer and advisor compliance services, distribution consulting, legal underwriting, FINRA licensing to US and non-US sponsors of closed-end, open-end, exchange traded funds and private placements. Whitaker and Hoopes collaborated on the answers.)

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