

Foreside Acquires Compliance Advisory Services to Expand Compliance and Regulatory Services Offerings

October 15, 2019

PORTLAND, Maine – October 14, 2019 – Foreside Financial Group, LLC (“Foreside”), a provider of regulatory and compliance service offerings to clients in the global asset management industry, today announced its acquisition of Compliance Advisory Services (“CAS”), a leading regional financial institution consulting firm.

The acquisition expands Foreside’s footprint in the U.S. and captures additional market share. The firm already enjoys a national presence, headquartered in Maine with offices in New York, Boston, Berwyn and Columbus, Londonderry, Concord, and Delray Beach.

“At Foreside, we’re committed to putting our clients first. This opportunity to partner with CAS allows us to work together to expand our comprehensive regulatory and compliance suite of service offerings as well as maintain a structured business model and point of contact for all CAS clients,” said David Whitaker, President of Foreside. “This acquisition is a fundamental step in a series of roll-ups that will keep us at the forefront of the regulatory consulting landscape. It gives us the opportunity to expand our wide-range of partnership offerings to a diverse range of clients. We are eager to work beside fellow expert compliance professionals to provide world-class service for clients while reaching our growth goals.”

The acquisition will introduce Foreside’s various compliance consulting solutions, including proprietary compliance technology to all current CAS clients. Further, the partnership will consist of a combination of Foreside’s financial services solutions, personalized service models, and an all-inclusive partnership program.

“We are deeply excited to be working alongside the Foreside team and feel Foreside is well-positioned to continue providing in-depth compliance service offerings to our clients,” said LaVerne White at CAS. “Not only will this partnership allow our clients’ needs to be met in a more holistic capacity, but it will also offer

an expansive level of support from a leading compliance firm. We feel confident Foreside will maintain the same level of professional expertise and precision we at CAS have strived to provide to our clients for over 25 years.”

A nationally recognized regulatory compliance consulting firm with a focus on Investment Advisors, CAS works to provide their clients with extensive regulatory and compliance offerings designed to fit their needs. In partnership with CAS, Foreside will continue to offer these comprehensive service packages, will maintain the dedicated consultant model that characterizes CAS’s hands-on consulting approach, and will introduce its proprietary compliance technology to further streamline their clients’ management of regulatory obligations.

About Foreside

Foreside delivers best-in-class technology solutions and comprehensive advice to clients in the global asset management industry. We distribute more than \$1 trillion of product through our 20 limited purpose broker-dealers. For 15 years, our suite of services and platform-based model have helped us automate and simplify compliance and marketing for clients. We work with pooled investment products, investment advisors, broker-dealers, global asset managers and other financial institutions.

By harnessing state-of-the-art technology, we help firms address and shape today’s regulatory environment, drive operational efficiency and growth, and focus on value-adding work. Foreside is headquartered in Portland, Maine with numerous regional offices, including those in New York and Boston. For more information on Foreside’s suite of services, please visit www.foreside.com.

About Compliance Advisory Services

Compliance Advisory Services, LLC. (CAS) is a nationally-known regulatory compliance consulting firm. CAS has long provided authoritative and practical advice in compliance matters and other important issues facing financial institutions. CAS’ clients range in size from small community institutions to multibillion-dollar regionals. The firm’s consulting staff possess two common traits: excellent knowledge of regulatory requirements and finely-tuned comprehension of the financial industry.

Media Contact:
Emma Clarke for Foreside
foreside@fullyvested.com
917 765 8720

PDF of press release [here.](#)